

# First-order separation over countable ordinals

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EN INFORMATIQUE

**LaBRI**

## Finite words

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**Goal:** to better understand **first-order logic** on **countable ordinals**. **Warm-up:** **finite words**.

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iff  $u =$  

i.e.  $u \in \Sigma^* a \Sigma^* b \Sigma^*$ .

# FO-definability

FO-DEFINABILITY:

Input: Morphism  $f: \Sigma^* \rightarrow M$

Question: Is  $f$  **FO-definable**? ←

$$f(u) := \begin{cases} m_1 & \text{if } u \models \varphi_1 \\ m_2 & \text{if } u \models \varphi_2 \\ \vdots & \vdots \\ m_n & \text{if } u \models \varphi_n \end{cases}$$



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**Theorem** [Schützenberger '65 & McNaughton-Papert '71]:A morphism  $f: \Sigma^* \rightarrow M$  is FO-definable IFF  $\text{im } f$  is **aperiodic**.**Corollary:** FO-DEFINABILITY is decidable.

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every group in  
 $\text{im } f$  is trivial

## Example!

$$f: \{a, b\}^* \rightarrow M$$

$$u \mapsto \begin{cases} 1 & \text{if } u = \varepsilon \\ a & \text{if } u \in a(aa)^*, \\ aa & \text{if } u \in (aa)^+, \\ 0 & \text{if } u \text{ contains a 'b'} \end{cases}$$

|    |    |    |    |   |
|----|----|----|----|---|
| .  | 1  | a  | aa | 0 |
| 1  | 1  | a  | aa | 0 |
| a  | a  | aa | a  | 0 |
| aa | aa | a  | aa | 0 |
| 0  | 0  | 0  | 0  | 0 |

1\*

a,  
aa\*

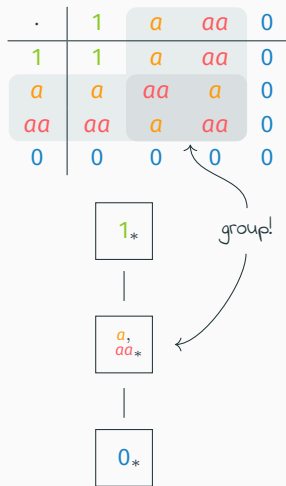
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$f$  is not FO-definable...

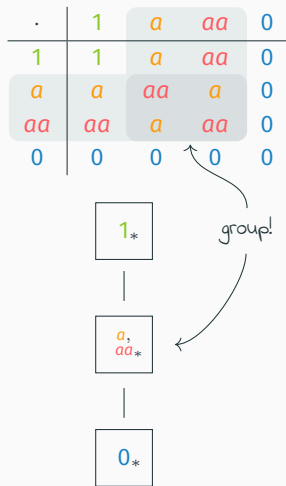


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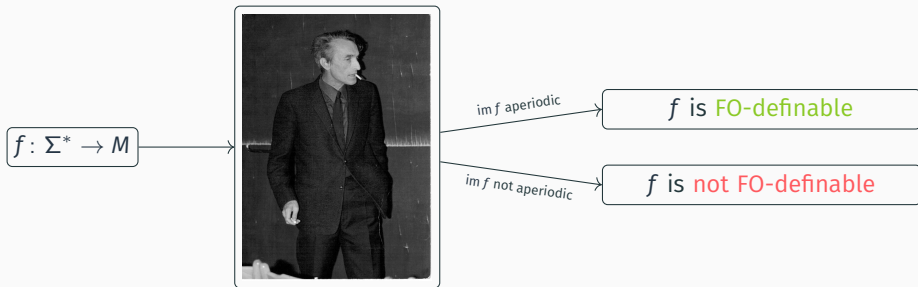
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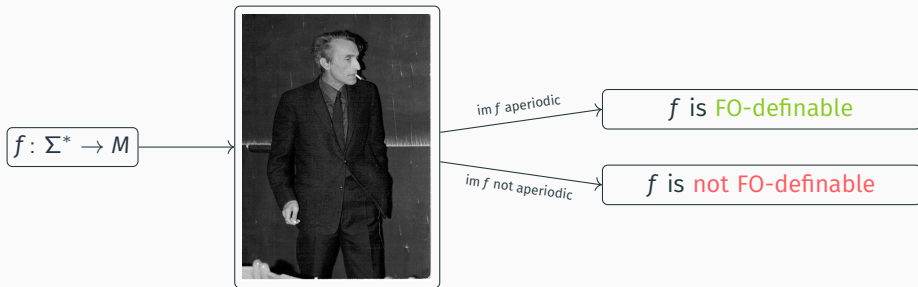
$f$  is not FO-definable...  
but still carries "FO-describable information"



# Qualitative vs. quantitative

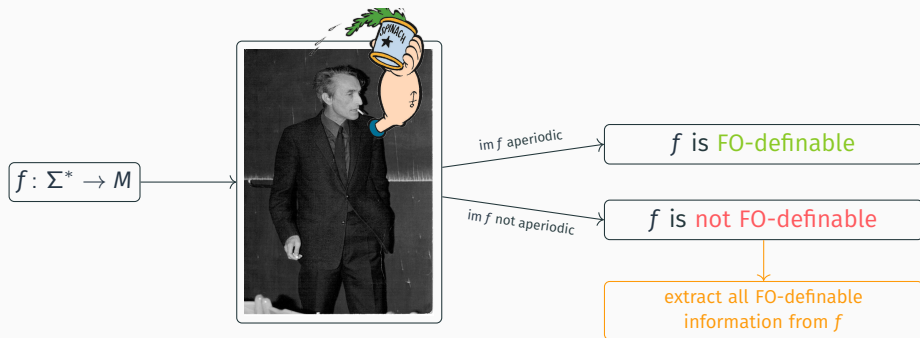


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Can we make a **quantitative** version of Schützenberger-McNaughton-Papert?

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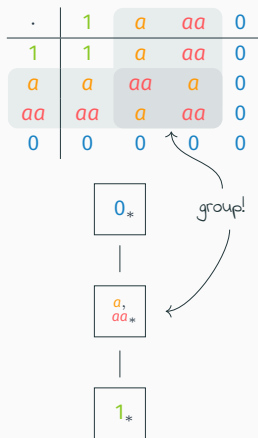
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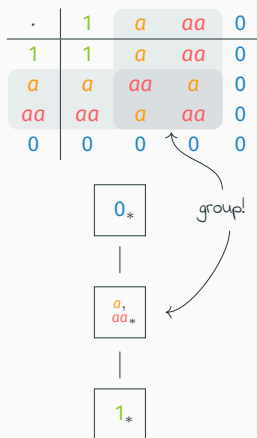
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$\exists \langle M \rangle^{*, \text{grp}}$  **computable** submonoid of  $\mathcal{P}(M)$  s.t.:

- for every  $f: \Sigma^* \rightarrow M$ , there exists  $g: \Sigma^* \rightarrow \langle M \rangle^{*, \text{grp}}$  such that  $g$  **FO-approximates**  $f$ , i.e.
  - $f(u) \in g(u)$  for all  $u \in \Sigma^*$ , and
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$$\langle M \rangle^{*,\text{grp}} = \{\{1\}, \{a\}, \{aa\}, \{a, aa\}, \{0\}\}$$

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Idea behind  $\langle M \rangle^{*,\text{grp}}$ : “saturate” your monoid with **groups**.

**Definition:**  $\langle M \rangle^{*,\text{grp}}$  is the smallest submonoid  $\mathcal{N}$  of  $\mathcal{P}(M)$  containing **all singletons** and such that:

IF  $\mathcal{G} \subseteq \mathcal{N}$  is a **group**,  
THEN  $\bigcup \mathcal{G} \in \mathcal{N}$ .

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## Words over countable ordinals

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## Beyond finite words

$\omega$ -words: FO cannot capture *group-like phenomena*

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**Words indexed by countable ordinals:**

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|                         |                 |            |
|-------------------------|-----------------|------------|
| $a^\omega cb^\omega ca$ | $(ab)^\omega b$ | $a^\omega$ |
| yes                     | no              | no         |

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Example:  $bc^{\omega}a$ ,  $cab^{\omega}c$ ,  $(ab^{\omega}c)^{\omega}$ , etc.

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|                           |                  |              |
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| yes                       | no               | no           |

**FO cannot capture group-like phenomena over countable ordinals:**

[Bedon '01] (qualitative)

[Colcombet, van Gool & M., '22] (quantitative).

## Languages over countable ordinals: example

|                             |            |                       |                            |                               |
|-----------------------------|------------|-----------------------|----------------------------|-------------------------------|
| Word                        | $a^\omega$ | $(a^\omega a)^\omega$ | $(a^\omega)^\omega a^{53}$ | $a^{\omega \cdot \alpha + k}$ |
| Longest finite suffix (LFS) | 0          | 0                     | 53                         | $k$                           |

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|                     |              |              |              |              |            |              |
|---------------------|--------------|--------------|--------------|--------------|------------|--------------|
| empty word          | ·            | 1            | $a$          | $aa$         | $a^\omega$ | $a^\omega a$ |
| finite & odd        | 1            | 1            | $a$          | $aa$         | $a^\omega$ | $a^\omega a$ |
| finite & even       | $a$          | $a$          | $aa$         | $a$          | $a^\omega$ | $a^\omega a$ |
| infinite & even LFS | $aa$         | $aa$         | $a$          | $aa$         | $a^\omega$ | $a^\omega a$ |
| infinite & odd LFS  | $a^\omega$   | $a^\omega$   | $a^\omega a$ | $a^\omega$   | $a^\omega$ | $a^\omega a$ |
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| infinite & even LFS | → | $aa$         |  | $aa$         | $a$          | $aa$         | $a^\omega$ | $a^\omega a$ |
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← group!



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The statement of the theorem is easy to generalise.  
The proof isn't.

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- for every  $f: \Sigma^{\text{ord}} \rightarrow M$ , there exists an FO-approximant  $g: \Sigma^{\text{ord}} \rightarrow \langle M \rangle^{\text{ord,grp}}$ .

The statement of the theorem is easy to generalise.  
The proof isn't.

# Henckell's theorem over countable ordinals

$$f: u \mapsto \begin{cases} 1 & \text{if } u \text{ is the empty word} \\ a & \text{if } u \text{ is finite \& odd} \\ aa & \text{if } u \text{ is finite \& even} \\ a^\omega & \text{if } u \text{ is infinite \& even LFS} \\ a^\omega a & \text{if } u \text{ is infinite \& odd LFS} \end{cases}$$

$$\langle M \rangle^{\text{ord,grp}} = \{ \{1\}, \{a\}, \{aa\}, \{a^\omega\}, \{a^\omega a\}, \\ \{a, aa\}, \{a^\omega, a^\omega a\} \}.$$

$$g: u \mapsto \begin{cases} \{1\} & \text{if } u \text{ is the empty word} \\ \{a, aa\} & \text{if } u \text{ is finite} \\ \{a^\omega, a^\omega a\} & \text{if } u \text{ is infinite} \end{cases}$$

Countable ordinal words



**Goal:** Extract as many FO-definable information from  $f: \Sigma^{\text{ord}} \rightarrow M$  as possible.

**Main tool:** closure  $\langle M \rangle^{\text{ord,grp}}$  of singletons of  $M$  under product,  $\omega$ -iteration and “groupisation”.

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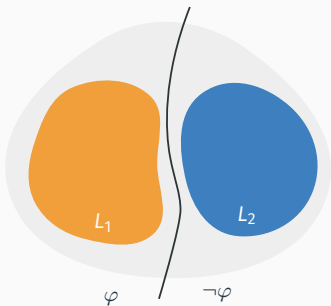


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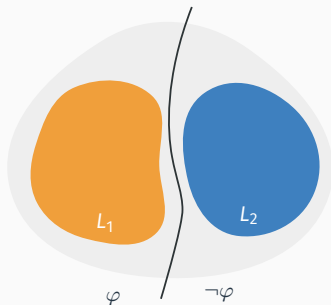


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FO-SEPARABILITY:

Input:  $L_1, L_2$  regular languages

Question: Are  $L_1$  and  $L_2$  FO-separable?

Decidable!

## Open questions &amp; ongoing work

| Domain<br>(count. linear order) | Characterisation of FO:<br>non-trivial ... are forbidden | Qualitative                                      | Quantitative                   |
|---------------------------------|--|--|--------------------------------|
| Finite                          | groups   | [Schützenberger '65,<br>McNaughton & Papert '71] | [Henckell '88]                 |
| $\omega$                        | groups   | [Perrin '84]                                     | [Place & Zeitoun '16]          |
| Ordinals                        | groups   | [Bedon '01]                                      | [Colcombet, van Gool & M. '22] |

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| Scattered                       | groups, gaps   | [Bès & Carton '11]                               | ongoing work                   |
| Countable                       | groups, gaps,<br>shuffles                                | [Colcombet & Sreejith '15]                       | ongoing work                   |